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# Finance, Audit & Risk Committee

## OPEN MINUTES

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Minutes of a meeting of the Finance, Audit & Risk Committee held in the Council Chambers, Horowhenua District Council, Levin on Wednesday 29 June 2022 at 4.00 pm.

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### PRESENT

<b>Chairperson</b>	Mr P Jones
<b>Deputy Chairperson</b>	Mrs C B Mitchell
<b>Members</b>	Mr D A Allan
	Mr W E R Bishop
	Mr R J Brannigan
	Mr T N Isaacs
	Mr B J Jackson
	Mr S J R Jennings
	Mrs V M Kaye-Simmons
	Mr R R Ketu
	Mrs J F G Mason
	Ms P Tukapua
	Mayor B P Wanden (via zoom)

### IN ATTENDANCE

<b>Reporting Officer</b>	Mrs J Straker	(Group Manager - Business)
	Mrs M Davidson	(Chief Executive)
	Mr D McCorkindale	(Group Manager – Customer & Strategy)
	Mr B Maguire	(Group Manager – Infrastructure Development & Operations)
	Mrs L Slade	(Group Manager – People & Culture)
	Mrs A Crawford	(Water & Waste Services Manager)
	Mrs A Huria	(Business Performance Manager)
	Mr G Rowse	(Principal Advisor – Democracy)
	Mr A Chambers	(Manager of Financial Planning & Reporting)
	Mrs T Glavas	(Health & Safety Lead)
	Mr B Blyton	(Procurement Advisor)
<b>Meeting Secretary</b>	Miss J Lygo	(Democracy Support Officer)

### ALSO IN ATTENDANCE

Mr Stephan Lucy      Audit New Zealand

Councillor Ketu opened this meeting with a karakia.

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**1 Apologies**

There were none.

**2 Public Participation**

Name	Item/Topic
Mrs Viv Bold	Item 6.4 - Audit New Zealand – Final Management Report for the year ended 30 June 2021.

**3 Late Items**

There were none.

**4 Declarations of Interest**

There were none.

**5 Confirmation of Minutes**

**Resolution Number FC/2022/1**

MOVED by Cr Allan, seconded Mr Jackson:

That the minutes of the meeting of the Finance, Audit & Risk Committee held on Wednesday, 25 May 2022, be confirmed as a true and correct record.

**CARRIED**

**6 Reports**

**6.4 Audit New Zealand - Final Management Report for the year ended 30 June 2021**

The Finance, Audit & Risk Committee were presented with the Audit New Zealand Management Report for the year ended 30 June 2021.

**Resolution Number FC/2022/2**

MOVED by Mr Jones, seconded Mr Jackson:

That Report 22/299 Audit New Zealand - Final Management Report for the year ended 30 June 2021 be received.

The Committee acknowledges the recommendations made by Audit NZ and management's responses.

The committee also notes the a number of the recommendations could have been resolved earlier, if the Management report had been received in a timely manner.

That this decision is recognised as not significant in terms of S76 of the Local Government Act.

**CARRIED**

Mr Stephan Lucy (Audit New Zealand) address the table apologizing for the report coming to the Committee late. The Group Manager of Business answered members questions providing further information.

### 6.1 Finance, Audit and Risk Committee Resolutions and Actions Monitoring Report 2022

Previous resolutions were reported to the Finance, Audit & Risk Committee.

#### **Resolution Number FC/2022/3**

MOVED by Cr Isaacs, seconded Deputy Mayor Mason:

That Report 22/302 Finance, Audit and Risk Committee Resolutions and Actions Monitoring Report 2022 be received.

That this matter or decision be recognised as not significant in terms of s76 of the Local Government Act 2002.

That the Finance, Audit and Risk Committee notes the Finance, Audit and Risk Committee resolution and actions monitoring report.

**CARRIED**

### 6.2 Finance, Audit and Risk Committee Work Programme

The Finance, Audit and Risk Committee were provided with an outline of a Draft Work Programme.

#### **Resolution Number FC/2022/4**

MOVED by Mr Jones, seconded Cr Isaacs:

That Report 22/304 Finance, Audit and Risk Committee Work Programme be received.

That this matter or decision be recognised as not significant in terms of s76 of the Local Government Act 2002.

That the Finance, Audit & Risk Committee notes the Finance, Audit and Risk Committee Work Programme.

**CARRIED**

### 6.3 Eleven Month Report to 31 May 2022

The Finance, Audit & Risk Committee were presented with the financial report for the eight months to 31 March 2022.

#### **Resolution Number FC/2022/5**

MOVED by Cr Mitchell, seconded Cr Jennings:

That Report Eleven Month Report to 31 May 2022 be received.

That this matter or decision be recognised as not significant in terms of s76 of the Local Government Act 2002.

**CARRIED**

The Manager of financial planning and reporting joined the table highlighting the key points of this report.

## 6.5 Risk Management Status Report

The Finance, Audit and Risk Committee were reported on Council's risk landscape, risk management work in progress and to continue a discussion with the Committee about risk.

This report was provided for information purposes only and has been assessed as not significant.

### **Resolution Number FC/2022/6**

MOVED by Mr Jones, seconded Cr Jennings:

That Report Risk Management Status Report be received.

That this matter or decision be recognised as not significant in terms of s76 of the Local Government Act 2002.

That the Finance, Audit and Risk Committee endorse the direction of the work underway to better understand risk, and Council's role in managing that.

**CARRIED**

The Group Manager of Business and the Procurement Advisor joined the table speaking to this report and clarifying points for members.

## 6.6 Health and Safety Quarterly Report

The Finance Audit and Risk (FAR) Committee were provided with an update on health and safety matters at Horowhenua District Council for the period 1 March 2022 to 31 May 2022.

### **Resolution Number FC/2022/7**

MOVED by Cr Jennings, seconded Cr Allan:

That Report 22/273 Health and Safety Quarterly Report be received.

That this matter or decision be recognised as not significant in terms of s76 of the Local Government Act 2002.

**CARRIED**

The Health & Safety Lead joined the table providing some highlights and noting the positive impact of being able to do more training with staff in the health & Safety area.

## 7 Procedural motion to exclude the public

### **Resolution Number FC/2022/8**

MOVED by Mr Jones, seconded Cr Brannigan:

That the public be excluded from the following part(s) of the proceedings of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution follows.

This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by section 6 or section 7 of that Act which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting in public, as follows:

**C1 Health and Safety Investigation**

Reason for passing this resolution in relation to each matter	Particular interest(s) protected (where applicable)	Ground(s) under section 48(1) for the passing of this resolution
The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.	s7(2)(a) - The withholding of the information is necessary to protect the privacy of natural persons, including that of a deceased person.	s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.

The text of these resolutions is made available to the public who are present at the meeting and form part of the minutes of the meeting.

**CARRIED**

5.44 pm The public were excluded.

Resolutions in relation to the confidential items are recorded in the confidential section of these minutes and are not publicly available.

6:05 pm

There being no further business, the Chairperson declared the meeting closed.

CONFIRMED AS A TRUE AND CORRECT RECORD  
AT A MEETING OF FINANCE, AUDIT & RISK  
COMMITTEE HELD ON

**DATE:**.....31 August 2022 .....

**CHAIRPERSON:**

